

10/534912

IAP7 Rec'd PCT/PTO 02 FEB 2006

FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

061352-0098

U.S. APPLIC. NO. (if known, see 37 CFR 1.5)
Not yet assigned

INTERNATIONAL APPLICATION NO

PCT/JP2003/014558

INTERNATIONAL FILING DATE

November 17, 2003

PRIORITY DATE CLAIMED

November 15, 2002

TITLE OF INVENTION

DISPLAY DEVICE AND DRIVING METHOD THEREOF

APPLICANTS FOR DO/EO/US

Seiji NISHIYAMA and Naohide WAKITA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not transmitted by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendment has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English translation of the International Application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
 - 1) Front page of International Application
 - 2) PCT/IB/301
 - 3) PCT Request
 - 4) International Search Report

| | | | | | |
|---|--------------|--|---------------------|---|---------------------|
| U.S. APPLIC. NO. (if known, see 37 CFR 1.50) Not yet assigned | | INTERNATIONAL APPLICATION NO. PCT/JP2003/014558 | | ATTORNEY'S DOCKET NUMBER 061352-0098 | |
| | | | | CALCULATIONS | PTO USE ONLY |
| 21. <input checked="" type="checkbox"/> The following fees are submitted: | | | | | |
| <input checked="" type="checkbox"/> Basic National Stage Fee | | \$300 | | \$300.00 | |
| 22. <input checked="" type="checkbox"/> Examination Fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) | | | | | |
| All other situations | | \$100 \$200 | | \$200.00 | |
| 23. <input checked="" type="checkbox"/> Search Fee Search fee (37 CFR 1.445(1)(2)) has been paid on the international application to the USPTO as an International Searching Authority | | | | | |
| International Search Report prepared and provided to the Office | | \$100 \$400 \$500 | | \$400.00 | |
| All other situations | | | | | |
| TOTAL OF 21, 22 and 23 = | | | | \$900.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction Thereof (round up to a whole number) | RATE | | |
| -100 | /50= | | X\$250 | \$0 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$130.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total Claims | 34-20 = | 14 | x \$50.00 | \$700.00 | |
| Independent Claims | 2-3 = | 0 | x \$200.00 | \$0 | |
| Multiple dependent claim(s) (if applicable) | | | + \$360.00 | \$0 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$1730.00 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | \$0 | |
| SUBTOTAL = | | | | \$1730.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than the 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | + | \$0 |
| TOTAL NATIONAL FEE = | | | | \$1730.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | + | \$0 |
| TOTAL FEES ENCLOSED = | | | | \$1730.00 | |
| | | | | Amount to be refunded | \$ |
| | | | | Amount to be charged | \$1730.00 |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. | | | | | |
| b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>500417</u> in the amount of <u>\$1730.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u> . A duplicate copy of this sheet is enclosed. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | |
| Customer Number 20277 | | | May 13, 2005 | | |
| Telephone: 202.756.8000 | | | SIGNATURE | | |
| Facsimile: 202.756.8087 | | | Michael E. Fogarty | | |
| | | | NAME | | |
| | | | 36,139 | | |
| | | | REGISTRATION NUMBER | | |

Applicant: Seiji NISHIYAMA, et al. Docket No. 061352-0098 Serial No. Not yet assigned

Title: DISPLAY DEVICE AND DRIVING METHOD THEROF Patent No. _____

Date Sent: 5/13/2005 ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ First Class Mail ☐ Express Mail No. _____

☒ Transmittal Letter

New Patent App ☐ Utility ☐ Design ☐ Cont. ☐ CIP ☐ Div. ☒ PCT ☐ RCE ☐ Prov

☐ Other: _____ ☐ Letter submitting _____ pages of drawings

40 pages of Specification

8 pages of Claims

1 pages of Abstract

14 pages of Formal/Informal Drawings

☐ Req. for Approval of Drawing Amendments

☐ Req. for Oral Hearing

☐ Not. of Appeal ☐ Appeal Brief ☐ Reply Brief

☐ Rule 312 Amendment/Letter

☐ Req. for Acknowledgement of Cited Art

☐ Issue Fee

☐ Publication Fee

☐ Req. for Certificate of Correction

☐ Maintenance Fee for _____ years after grant

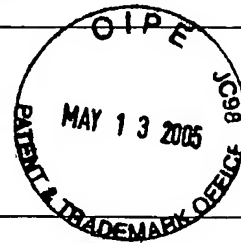
☐ Fee Address Indication Form

☒ PCT Request

☒ Front page of International Application

☒ International Search Report

☒ PCT/IB/301



☐ Small Entity ☒ Large Entity

☐ Declaration/Power of Attorney

☐ Recordation of Assignment/Security Agreement

☒ Information Disclosure Statement

1 Form PTO 1449

7 copies of cited references

☐ Preliminary Amendment

☐ Response to Missing Parts Notice

☐ Resp. to Notice to Correct App. Papers

☐ Certified Copy of Priority Doc.

☐ Claim for Convention Priority

☐ Response/Amendment to Office Action of _____

☐ Request for _____ month Extension of Time

| | | | | | | | | | |
|-----------|----|---|-----------|---------------|-----|---------|------|--------------|-----------|
| Check for | \$ | <input checked="" type="checkbox"/> Charge Deposit Acct. 500417 | \$1730.00 | Atty Init. | MEF | Tkpr. # | 3328 | Secy. or PL: | ETEnglish |
|-----------|----|---|-----------|---------------|-----|---------|------|--------------|-----------|

CMS Descrip.: 1-300 3-700 5-130 31-400 32-200 EARLY SERIAL NUMBER

THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.